



WEST BENGAL ELECTRICITY REGULATORY COMMISSION

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Annual Report For the year 2017–2018 (up to 31st March, 2018)

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*Annual Report of West Bengal Electricity Regulatory Commission
For the year 2017-18 (upto 31st March, 2018)
(u/s 105 of the Electricity Act, 2003 and Rules made thereunder)*

1. **Preamble** — The Government of West Bengal constituted West Bengal Electricity Regulatory Commission (WBERC) under sub-section (1) of section 17 of the Electricity Regulatory Commissions Act, 1998 (14 of 1998), vide Notification No. 06-Power/III dated 6.1.1999. The Commission became formally functional with the joining of its first Chairperson on 31.3.1999. The Electricity Regulatory Commissions Act, 1998 was repealed by the Electricity Act, 2003 (36 of 2003). The Electricity Act 2003 came into force w.e.f. 10.06.03. The WBERC established under section 17(1) of the Electricity Regulatory Commissions Act, 1998 became the State Commission for the purposes of The Electricity Act, 2003 in terms of first proviso to sub-section (1) of section 82 of the said Act.

The State Commission continues as a body corporate by the name aforesaid (WBERC), having perpetual succession and a common seal, with power to acquire, hold and dispose of property, both movable and immovable, and to contract and shall, by the said name, sue or be sued.

2. **Commission as an Institution :—**

With the coming into force of the Electricity Act 2003, the responsibilities of the Commission increased manifold and so did the requirement of manpower. The Department of Power, Government of West Bengal in consultation with the Finance Department, Government of West Bengal, accorded approval to a fresh regulation called the West Bengal Electricity Regulatory Commission (Number, Nature and Categories of Other Employees and Salaries, Allowances and Other Conditions of Service of Secretary, Other Officers and Employees) Regulations, 2006. The Regulation duly published in the Kolkata Gazette Extraordinary on 04.05.2006 under Notification No. 29/WBERC dated 04.05.2006 specify the number, nature and categories of the officers and employees and their salaries, allowances etc. The earlier Regulations called the West Bengal Electricity Regulatory Commission (Number, Nature and Categories of Other Employees and Salaries, Allowances and Other Conditions of Service of Secretary, Other Officers and Employees) Regulations, 2004 were repealed. Schedule showing the number, nature, and categories of posts in the Commission as specified in the said Regulations is at Annexure I.

HUMAN RESOURCES STRENGTH

Composition of Commission

Chairperson	—	Shri Rabindra Nath Sen took over charge as
(The Chief Executive)		Chairperson on 26.05.2015 (AN)



- Member – Shri Amitava Biswas took over charge as Member on 13.07.2015
- Member – Shri Durgadas Goswami, IAS (Retd) took over Charge as Member on 10.10.2017 (AN)

EXISTING MANPOWER STRUCTURE

- Secretary – Shri Tarun Kumar Mukherjee has taken over charge as Secretary in the Commission with effect from 06.12.2017. [Dr. Jiban Chandra Chakraborty held the post of Secretary up to 02.09.2017]
- Advisor (Engg.) – Shri T. K. Chakrabarti with effect from 05.02.2018
- Advisor (Engg.) – Shri Abhijit Bose with effect from 07.01.2016
- Advisor (Engg.) – Shri Dhiraj Saha with effect from 26.05.2016
- Jt. Advisor (Finance) – Shri Ashok Kumar Mukhopadhyay with effect from 09.12.2015 on deputation from DVC
- Jt. Advisor (Engineering) – Shri Amit Kumar Sil with effect from 06.01.2016 on deputation from DVC.
- Jt. Advisor (Engineering) – Mrs Sutapa Das with effect from 20.01.2016
- Director (Finance) – Shri Navonil Datta with effect from 06.02.2018 on deputation from WBSETCL.
- Director (Engineering) – Shri Anup Kumar Dutta with effect from 18.12.2015 on deputation from WBSEDCL.
- Dy. Director (Engineering) – Shri A K Ballav with effect from 11.07.2014
- Dy. Director (Finance) – Shri Chandra Sekhar Ghosh with effect from 04.12.2015
- Dy. Director (Administration) – Shri Murari Mohan Baidya with effect from 07.02.2018.
- Accounts Officer – Shri Anindya Pal with effect from 02.01.2017
- Assistant Director (Engg) – Shri Nilanjit Sarkhel with effect from 29.07.2016
- Assistant Director (Engg) – Shri Arnab Dey with effect from 27.11.2017
- P.A. – 7 (on contract) including 02 re-appointed as SSD
- Accountant – 1 (on contract) — One Senior Assistant on contract looking after the work of Accountant.



Head Assistant	– 1 (On contract).
Staff on Special Duty & ex-Officio Head Assistant	– 1 (On contract). One Senior Assistant on contract looking after the work of SSD & Ex-OHA
Sr. Assistant	– 3 (On contract). On completion of 60 years of age they were retired from service with effect from 31.12.2017 and were re-appointed as SSD with effect from 09.01.2017
Assistant	– 5 (on contract)
Group 'D'	– 8 (on contract) including 02 re-appointed as office peon.

3. **Powers vested in and functions assigned to the Commission as per the Electricity Act, 2003 :—**

Previously i.e. prior to 10.6.2003, the Commission used to discharge only those functions assigned to it by the State Government in terms of the Electricity Regulatory Commissions Act, 1998 (No. 14 of 1998).

Soon after the repeal of the Electricity Regulatory Commissions Act, 1998 and coming into force of the Electricity Act, 2003 with effect from 10.6.2003, the functions of the Commission have widened. Section 86 of the Electricity Act, 2003 delineates the functions of the Commission. The relevant section of the Act is reproduced below:-

"86 (1) The State Commission shall discharge the following functions, namely :—

- (1) (a) determine the tariff for generation, supply, transmission and wheeling of electricity, wholesale, bulk or retail, as the case may be, within the State:

Provided that where open access has been permitted to a category of consumers under section 42, the State Commission shall determine only the wheeling charges and surcharge thereon, if any, for the said category of consumers;

- (b) regulate electricity purchase and procurement process of distribution licensees including the price at which electricity shall be procured from the generating companies or licensees or from other sources through agreements for purchase of power for distribution and supply within the State;
- (c) facilitate intra-State transmission and wheeling of electricity;
- (d) issue licenses to persons seeking to act as transmission licensees, distribution licensees and electricity traders with respect to their operations within the State;



- (e) promote cogeneration and generation of electricity from renewable sources of energy by providing suitable measures for connectivity with the grid and sale of electricity to any person, and also specify, for purchase of electricity from such sources, a percentage of the total consumption of electricity in the area of a distribution licensee;
 - (f) adjudicate upon the disputes between the licensees and generating companies and to refer any dispute for arbitration;
 - (g) levy fee for the purpose of this Act;
 - (h) specify State Grid Code consistent with the Grid Code specified under clause (h) of sub-section (1) of section 79;
 - (i) specify or enforce standards with respect to quality, continuity and reliability of service by licensees;
 - (j) fix the trading margin in the intra-State trading of electricity, if considered, necessary;
 - (k) discharge such other functions as may be assigned to it under this Act;
- (2) The State Commission shall advise the State Government on all or any of the following matters, namely :—
- (i) promotion of competition, efficiency and economy in activities of the electricity industry;
 - (ii) promotion of investment in electricity industry;
 - (iii) reorganization and restructuring of electricity industry in the State;
 - (iv) matters concerning generation, transmission, distribution and trading of electricity or any other matter referred to the State Commission by that Government;
- (3) The State Commission shall ensure transparency while exercising its powers and discharging its functions.
- (4) In discharge of its functions, the State Commission shall be guided by the National Electricity Policy, National Electricity Plan and Tariff Policy published under section 3."

These apart, the State Commissions are, *inter alia*, to help development of market, regulate supply, distribution, consumption and use of electricity, as also to protect the interest of the consumers.

4. Composition of State Advisory Committee: Role and Responsibilities:—

Sections 87 and 88 of the Electricity Act, 2003 empower the Commission to constitute a State Advisory Committee with effect from such date as it may notify in a notification. As per provisions of the Act, the objectives of the State Advisory Committee shall be to advise the State Commission



on several matters including the major questions of policy, matters relating to quality, continuity and extent of service provided to the consumers, protection of consumer interests, electricity supply and overall standards of performance by the utilities and compliance by the licensees with the conditions and requirements of their licence. The provision of the Act stipulates that the State Advisory Committee shall consist of not more than twenty one members to represent the interests of commerce, industry, transport, agriculture, labour, consumers etc. The Chairperson of the State Commission shall be the *ex-officio* Chairperson of the Committee and Members of the Commission shall be the *ex-officio* members of the Committee.

The Commission vide Notification No. 9/WBERC dated 08.08.2003 and as amended from time to time constituted the State Advisory Committee w.e.f. 01.12.2003. It was published in the Kolkata Gazette Extraordinary on 05.09.2003. The State Advisory Committee was reconstituted vide Notifications No. WBERC/A-22/1/967 dated 17.03.2004 and No. WBERC/A-22/2/1155 dated 05.11.2007. Again, the State Commission has reconstituted the State Advisory Committee by Notification No. WBERC/A-22/3/0482 dated 17.07.2015 followed by amendments by Notification No. WBERC/A-22/3/1422 and No 1423 dated 17.12.2015. The reconstituted Committee held its meetings on 02.05.2017 & 21.11.2017 during the year and deliberated on major issues pertaining to the development of power sector with an inclusive approach, focus being on reduction of AT & C loss, supply of quality power to the consumers, campaign against power pilferage etc.

5. WORK PROFILE OF THE COMMISSION

(a) HIGHLIGHTS OF THE YEAR, 2017-2018

(b) Budget

The Revised Budget Estimate for the year 2016 - 2017 and the Budget Estimate for the year 2017- 2018 have been sent to the Government in the Department of Power & NES vide Commission's letter No. WBERC/D-1/Pt-V/1320 dated 22.11.2016 (Copy enclosed as Annexure-II).

(c) Regulations

WBERC is assigned to frame Regulations with previous publication of their drafts inviting objections and suggestions from all stakeholders and interested persons. Notice inviting objections and suggestions on the draft Regulations are published in different leading dailies—English, Bengali and Hindi. Drafts of Regulations are also uploaded on the website of the Commission simultaneously inviting objections/suggestions/comments from all stakeholders and interested persons. The same drafts are also sent to the State Government in the Department of Power & Non-conventional Energy Sources, all distribution licensees and generating companies under the purview of the Commission in the state, all members



of the Advisory Committee, Central Electricity Regulatory Commission and State Electricity Regulatory Commissions inviting objections/ suggestions/ comments. They are also kept available in the office of the Commission for public inspection and sale. Commission, after taking into consideration the objections/ suggestions/ comments as are received, if any, finally approves the Regulations for publication in the Kolkata Gazette Extraordinary. The Regulations, after publication in the Gazette are sent to the West Bengal Legislative Assembly Secretariat for laying before the Hon'ble Members of Legislative Assembly as required under section 182 of the Electricity Act, 2003.

Draft of the following Regulation is under consideration:-

Sl. No.	Name of the Regulations	Date of publication of Notice in newspaper inviting objections, comments/suggestion on draft Regulations
1.	(a) Draft West Bengal Electricity Regulatory Commission (State Electricity Grid Code) Regulations, 2017. (b) Draft West Bengal Electricity Regulatory Commission (Balancing and Settlement Code) Regulations, 2017. (c) Draft West Bengal Electricity Regulatory Commission (Open Access Regulations Code) Regulations, 2017.	<u>23.02.2017, 27.03.2017, 27.04.2017 & 25.05.2017</u> Bartaman, Sanmarg, Uttar Banga, The Times of India (Main), The Economic Times and Ei Samay
2.	The Draft West Bengal Electricity Regulatory Commission (Terms and Conditions of Tariff) (Third Amendment) Regulations, 2017	<u>19.07.2017</u> Economic Times Kolkata, Times of India-Kolkata, Ei Samay- Kolkata, Bartaman-Kolkata, Uttarbanga Sambad - All & Sanmarg-Kolkata

- (d) The Office(s) of the Commission is now housed in the hired accommodation at FD 415A, Pura Bhawan, 3rd Floor, Sector - III, Bidhannagar, Kolkata - 700 106 with effect from April 2001 and **"Bikalpa Shakti Bhavan", 3rd Floor, J-1/10, EP & GP Block, Sector-V, Salt Lake, Kolkata - 700 091 - the address wherefrom the Ombudsman of the Commission function.**
- (e) The Commission has renewed the memberships of the Forum of Indian Regulators and the Forum of Regulators for the year 2017-2018 vide WBERC/D-9/NEFT/1892 dated 20.09.2018. Chairperson of this Commission is a Member of Forum of Regulators constituted in terms of section 166(2) of the Electricity Act, 2003.



- (f) The Commission has become a Member of South Asia Forum for Infrastructure Regulation (SAFIR) for the year 2011-12. SAFIR was formed in 1999 with the support of the World Bank as a network of infrastructure Regulators of the region (comprising Bangladesh, Bhutan, Nepal, Sri Lanka, India and Pakistan), connected international institutions and individuals working in the relevant area. The Commission has renewed the membership of the SAFIR vide No. WBERC/D-9/NEFT/1997 dated 27.03.2018 for the year 2017-18.
- (g) The Commission has become a member of Forum of Regulators for Eastern and North-Eastern States (FORENS) in 2013. It has contributed its membership fees for the year 2018-19 vide No. WBERC/D-9/NEFT/2665 dated 14.08.2018.

(h) **Status of Court Cases upto 2017-18:—**

Position as on 31.03.2018 is:—

- (i) Cases/Appeal/Clarification Application etc. filed by WBERC Before the Hon'ble Supreme Court—Five (Disposed of—Five, Pending—Nil).
- (ii) Cases/Appeal/Clarification Application etc. against WBERC or WBERC as one of the Respondents:
Before the Hon'ble Supreme Court—Twenty Two Cases (Disposed of—Twenty One, Pending—One).
- (iii) Cases/Appeal/Clarification Application etc. filed by WBERC
Before the Hon'ble Appellate Tribunal for Electricity at Delhi—One (Disposed of—One, Pending—Nil).
- (iv) Cases/Appeal/Clarification Application etc. against WBERC or WBERC as one of the Respondents
Before the Hon'ble Appellate Tribunal for Electricity at Delhi—Sixty Six (Disposed of—Forty two, Pending—Twenty four).
- (v) Cases/Appeal/Clarification Application etc. filed by WBERC:
Before the Hon'ble High Court at Calcutta—Seven (Disposed of—Four, Pending—Three).
- (vi) Cases filed in the Hon'ble High Court at Calcutta against WBERC as the principal respondent:

Year	Number of cases filed	Number of cases disposed of	Number of cases pending
Upto 2016-2017	26	03	23
2017-2018	11	06	05
Total	37	09	28



(vii) Cases filed (in the Hon'ble High Court) at Calcutta wherein WBERC is one of the respondents:

Year	Number of cases filed	Number of cases disposed of	Number of cases pending
Upto 2016-2017	948	443	505
2017-2018	38	4	34
Total	986	447	539

(i) **Other Applications:**

The position of Other Applications filed before the Commission in 2017-18 is shown below:—

Year	Number of new cases filed	Number of old cases pending	Total no. of cases	Number of Cases disposed of (5)			Number of cases pending at the end of the year
				New	Old	Total	
(1)	(2)	(3)	(4)	(a)	(b)	(c)	(6) =4-5(c)
2017-2018	23	27	50	06	13	19	31

(j) **Consumer Complaints**

(i) **Regulations**

In order to protect the interest of the consumers, the Commission has taken necessary steps as per provisions of the Electricity Act 2003. At present the West Bengal Electricity Regulatory Commission (Guidelines for Establishment of Forum for Redressal of Grievances of Consumers and Time and Manner of Dealing with such Grievances by the Ombudsman) Regulations, 2013 issued under notification No.56/WBERC dated 26.08.2013 and published in Kolkata Gazette (Extraordinary Part-I) dated 26.08.2013 is in force. This has replaced the previous Regulations framed in 2006.

(ii) **Functioning of the Grievance Redressal Mechanism : Ombudsman**

The provisions of the Regulations 3.3 of the West Bengal Electricity Commission (Guidelines for Establishment of Forum for Redressal of Grievances of Consumers and Time and Manner of Dealing with such Grievances by the Ombudsman) Regulations, 2013 stipulate that there shall be as many Grievance Redressal officers (GRO) as may be necessary at the rate of at least one such officer at each sub-district/district/region/zone/headquarter level in each of the distribution licensees. As per provisions of the said Regulations, a forum is set up by each distribution licensee to address the



grievances of its consumers. The forum consists of Grievance Redressal Officers at different levels of the organization of each distribution licensee. **Any consumer can take his/her grievance(s) to such Grievance Redressal Officers for its/their redressal in the form of submission of a grievance petition in writing in accordance with the provisions of the said Regulations.** The names, official designations, address etc. of the Grievance Redressal Officers of a distribution licensee should be available in the offices / sub-offices, bill payment centers as well on the website of the distribution licensee. **No fee is required to be paid by a consumer for submission of a petition for redressal of grievance to a Grievance Redressal Officer.** On receipt of such a petition, the Grievance Redressal Officer concerned is required to acknowledge receipt of the petition by a written communication within 7 (seven) working days from the date of its receipt. If the grievance does not call for any consultation with a technical expert or a spot visit, the Grievance Redressal Officer is required to dispose of the grievance petition after giving a reasonable opportunity of hearing to the aggrieved consumer and others within a period of 40 (forty) working days from the date of sending the acknowledgement. If, on the other hand, the redressal of the grievance requires any consultation with a technical expert and / or any spot inspection, the Grievance Redressal Officer is required to dispose of the grievance petition after hearing all concerned within 60 (sixty) days from the date of sending the acknowledgement. The Grievance Redressal Officer is required to give a certified copy of his/her order whereby the grievance petition has been disposed of to the grievance petitioner and also to the licensee within 7 (seven) working days from the date of passing of the order. If a consumer still feels aggrieved, he/she may move the Ombudsman representing his/her case through a written submission in the format specified for this purpose. While making such representation to the Ombudsman it is required of the aggrieved consumer that he/she had already approached at least one Grievance Redressal Officer seeking redressal of his/her grievance. If the licensee does not comply with the orders of GRO/CGRO within 100 (one hundred) working days from the date of lodging of his/her grievances, or if a consumer is not satisfied with the order of the GRO or the CGRO, as the case may be, or if he/she does not receive any order from the GRO or the CGRO to whom he/she has approached at the first instance seeking redressal of his/her grievance(s), he/she may submit a written representation to the Ombudsman for the purpose of settlement of his/her grievances/complaints. **No fee is needed to be paid for approaching the Ombudsman.**

Ombudsmen, West Bengal Electricity Regulatory Commission, for all distribution licensees in the State as required under section 42(6) of the Electricity Act 2003 started functioning from 21.06.2004 within the office of the Commission itself but they have



now been functioning in the hired accommodation of the Commission at **"Bikalpa Shakti Bhavan (WBREDA)", 3rd Floor, J-1/10, EP & GP Block, Sector-V, Salt Lake, Kolkata -700 091** at the usual office hours on all the working days in a week.

Since inception in June 2004, the Ombudsmen have dealt with the following consumer grievances upto financial year 2017-18:—

Grievance against	No. of complaints		
	Received	Disposed off	Pending
WBSEDCL	9393	9316	77
CESC Ltd.	1391	1378	13
DPL	08	08	-
SHRELCOP	36	36	-
IPCL	06	04	02
DVC	05	05	-
Total	10839	10747	92

During 2017-18 the Ombudsmen received 244 cases. The cumulative number of cases at the end of financial year 2017-18 stood at 350 (106 pending cases and 244 new cases). As on 31.03.2018, 258 cases have been disposed of.

(iii) Consumer complaints received by the Commission:

The Commission received a number of complaints against the licensees from the consumers as shown in the Table-I below. As will be evident from the Table, the complaints covered issues like inaccurate security deposit, excess billing, improper disconnection, non-receipt of meter, non-compliance of provisions of the Electricity Act 2003 and Regulations framed thereunder etc. The Commission, after due scrutiny of the complaints had taken up some of them with the utilities concerned with the direction to submit reports of the grievances. **1098** complaints received between 2002 to 2007 by the Commission, **51** cases were redirected to the Ombudsmen for disposal. Commission had actually dealt with **1047** cases at its own level and disposed of all complaints. Since forum for redressal of consumer grievances and the Ombudsmen are now fully functional, Commission was not required to take up any hearing of the complaints lodged with the Commission during 2017-18. Those matters were disposed of on obtaining reports from licensees and advising the applicants suitably. Only the issues referred to the Commission under section 142 of the Electricity Act, 2003 were dealt with by the Commission as per set procedure.



TABLE - I
CATEGORY-WISE COMPLAINTS OF CONSUMERS AS ON 31.03.2018

Sl. No.	Category wise Complaints	No. of complaints			Disposed of			Pending		
		Commission	Ombudsman	Total	Commission	Ombudsman	Total	Commission	Ombudsman	Total
1.	Incorrect Electricity Bill	161	1195	1356	161	1173	1334	–	22	22
2.	Disconnection without observing procedural formalities	69	261	330	69	249	318	–	12	12
3.	Overcharging for new connection	10	241	251	10	238	248	–	03	03
4.	Non functioning of meters	37	156	193	37	143	180	–	13	13
5.	Delay in providing services	44	1041	1085	44	1031	1075	–	10	10
6.	Delay in giving new connection	151	2063	2214	151	2053	2204	–	10	10
7.	Miscellaneous Representations	575	6066	6641	575	6044	6619	–	22	22
8.	Grand Total	*1047	**11023	12070	1047	10931	11978	–	92	92

*51 cases transferred to the Ombudsman not included.

** 102 cases referred by the Ombudsman to the Commission included herein.

- (iv) Details of compensation allowed and Orders in favour of complainants out of the total number of complaints shown in Table-II :-

TABLE-II

Authority	Total number of complaints where compensation was allowed	Orders in favour of complainant
Commission	40	439
Ombudsmen	1108	6869
Total	1148	7308

**TABLE - III****(v) Cases under Section 142 of the Electricity Act, 2003 :**

Year	Number of new cases filed	Number of old cases pending	Total no. of cases	Number of cases disposed of (5)			Number of cases pending at the end of the year
				New	Old	Total	
(1)	(2)	(3)	(4)	(a)	(b)	(c)	(6) =4-5(c)
Upto 2008-09	07	Nil	07	07	Nil	07	Nil
2009-10	12	Nil	12	12	Nil	12	Nil
2010-11	02	-	02	01	Nil	01	01
2011-12	26	01	27	11	Nil	11	16
2012-13	34	16	50	16	01	17	33
2013-14	23	33	56	10	06	16	#40
2014-15	17	02	19	05	02	07	*12
2015-16	27	04	31	01	04	05	\$26
2016-17	10	19	29	10	19	29	-
2017-18	25	25	50	17	19	36	+14
Total	183	100	283	90	51	141	142

Out of **40** pending cases for the year 2013-14, WBSEDCL has filed Writ Petitions challenging the Orders of the Ombudsmen in **38** cases before the Hon'ble High Court, Calcutta and the remaining **02** cases were disposed during the year 2016-17.

* Out of **12** pending cases for the year 2014-15, WBSEDCL has filed Writ Petitions challenging the Orders of the Ombudsmen in **08** cases before the Hon'ble High Court, Calcutta and remaining **04** cases were disposed during the year 2016-17.

\$ Out of **26** pending cases for the year 2015-16, WBSEDCL has filed Writ Petitions challenging the Orders of the Ombudsmen in **07** cases before the Hon'ble High Court, Calcutta and the remaining **19** cases have been disposed during the year 2016-17.

+ Out of **14** pending cases for the year 2017-18, WBSEDCL has filed Writ Petitions challenging the Orders of the Ld. Ombudsmen in **03** cases before the Hon'ble High Court, Calcutta and remaining **11** cases have been pending with the Commission for disposal.



6. Determination of tariff for generation, supply, transmission of electricity - wholesale, bulk, grid or retail:-

(a) Tariff

Fixation of Tariff for the year 2014-15 to 2016-17

Under the Multi Year Tariff (MYT) framework, all the licensees and two generating companies filed applications before the Commission for approval of Aggregate Revenue Requirement (ARR) for the Fourth Control Period covering 03 (three) years i.e., 2014-2015, 2015-2016 and 2016-2017 in terms of the provisions of the West Bengal Electricity Regulatory Commission (Terms and Conditions of Tariff) Regulations, 2011, as amended.

Table- IV

Sl. No.	Name	Description	Date of admission	Status of Tariff Order
1	WBSEDCL	MYT application for the 5 th control period comprising the year 2017-18	15.02.2017	Under active consideration
2.	DPL	MYT application for determination of tariff for the year 2017-18	20.02.2017	-do-
3.	Haldia Energy Ltd.	MYT application for the 5 th control period comprising the year 2017-18 for the 400 KV Double Circuit Dedicated Transmission line	03.04.2017	-do-
4.	WBPDC	MYT application for determination of tariff for the year 2017-18	27.01.2017	-do-
5.	WBSETCL	MYT application for ARR for 5 th control period for the year 2017-18	21.02.2017	-do-
6.	DVC	MYT application for determination of tariff for the year 2017-18	Not yet admitted	-do-
7.	CESC Ltd.	Application for MYT petition for the 5 th control period comprising the year 2017-18	20.02.2017	-do-
8.	IPCL	Application for tariff petition for the year 2017-18	10.04.2018	-do-
9	HEL	Application for tariff petition for the year 2017-18 (2x300 MW)	28.12.2016	Order issued on 27.11.2017

**(b) Fuel & Power Purchase Cost Adjustment (FPPCA):**

- (i) Present status of FPPCA/FCA claims filed before the Commission in 2014-15 to 2017-18 are shown in the Table V below :-

Table-V

Sl. No.	Name	Date of receipt	Date of admission #	Year to which the application relates	Date of order
2014-15					
1	IPCL	09.09.2014	18.11.2016	2013-14	Under active consideration
2	CESC Limited	11.09.2014	30.07.2015	2013-14	-do-
3	WBSEDCL	10.09.2014	10.08.2015	2013-14	-do-
4	DPL	16.09.2014	14.08.2015	2013-14	Issued on 16.02.2017
5	WBPDCCL	18.09.2014	30.07.2015	2013-14	Issued on 24.08.2017
6	DVC	Not yet submitted			
2015-16					
1	IPCL	09.11.2015	Not yet admitted	2014-15	—
2	CESC Limited	14.09.2015	31.03.2017	2014-15	Under active consideration
3	WBSEDCL	16.10.2015	17.04.2017	2014-15	Under active consideration
4	WBPDCCL	26.11.2015	Not yet admitted	2014-15	—
5	DPL	30.11.2015	29.05.2017	2014-15	Under active consideration
6	DVC	Not yet submitted			
2016-17					
1	CESC Ltd	08.09.2016	Not yet admitted	2015-16	-
2	WBSEDCL	12.09.2016	Not yet admitted	2015-16	-
3	HEL	21.09.2016	Not yet admitted	2014-15 & 2015-16	-
4	WBPDCCL	27.10.2016	Not yet admitted	2015-16	-
5	DPL	30.11.2016	Not yet admitted	2015-16	-
6	DVC	Not yet submitted			



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Sl. No.	Name	Date of receipt	Date of admission#	Year to which the application relates	Date of order
2017-18					
1	CESC Ltd	25.09.2017	Not yet admitted	2016-17	-
2	WBPDC	16.10.2017	Not yet admitted	2016-17	-
3	WBSEDCL	17.10.2017	Not yet admitted	2016-17	-
4	HEL	18.10.2017	Not yet admitted	2016-17	-
5	DPL	30.11.2017	Not yet admitted	2016-17	-
6	IPCL	Not yet submitted			
7	DVC	Not yet submitted			

After scrutiny of the petitions, the petitioners were advised to submit additional information/ data, and on receipt of the required data/information the petitions were admitted for further necessary action.

(d) Annual Performance Review (APR)

A generating company or a licensee is required to file an application for Annual Performance Review (APR) covering annual fixed charges, incentives and effects of gain sharing alongwith statutory audited data and a copy of the audited Annual Accounts for the purpose of assessing the reasons and extent of any variation in performance from the approved projection.

- (i) Present status of applications for APR filed before the Commission in 2014-15 to 2017-18 are shown in the Table VI below :-

Table-VI

Sl. No.	Name	Year	Date of receipt	Date of admission	Date of order
2014-15					
1	CESC Ltd.	2013-14	02.12.2014	30.07.2015	Under active consideration
2	WBSETCL	2013-14	28.11.2014	30.07.2015	-do-
3	WBSEDCL	2013-14	02.12.2014	10.08.2015	-do-
4	DPL	2013-14	28.11.2014	14.08.2015	Issued on 16.02.2017
5	IPCL	2013-14	02.01.2015	18.11.2016	Under active consideration



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Sl. No.	Name	Year	Date of receipt	Date of admission	Date of order
6	WBPDC	2013-14	02.12.2014	30.07.2015	Issued on 24.08.2017
7	DVC	Not yet submitted			
2015-16					
1	CESC Ltd.	2014-15	30.11.2015	31.03.2017	Under active consideration
2	WBSETCL	2014-15	30.11.2015	11.04.2017	-do-
3	WBSEDCL	2014-15	30.11.2015	17.04.2017	-do-
4	WBPDC	2014-15	30.11.2015	Not yet admitted	-
5	DPL	2014-15	30.11.2015	29.05.2017	Under active consideration
6	DVC	2009-10 to 2013-14	30.11.2015	29.06.2018	Under active consideration
7	DVC	2014-15	23.02.2016	Not yet admitted	-
2016-17					
1	WBPDC	2015-16	29.11.2016	Not yet admitted	-
2	WBSEDCL	2015-16	25.11.2016	Not yet admitted	-
3	DPL	2015-16	29.11.2016	Not yet admitted	-
4	CESC Ltd	2015-16	30.11.2016	Not yet admitted	-
5	WBSETCL	2015-16	30.11.2016	Not yet admitted	-
6	IPCL	2014-15	05.12.2016	Not yet admitted	-
7	DVC	Not yet submitted			
2017-18					
1	WBPDC	2016-17	29.11.2017	Not yet admitted	-
2	WBSEDCL	2016-17	30.11.2017	-do-	-
3	DPL	2016-17	30.11.2017	-do-	-
4	CESC Ltd	2016-17	30.11.2017	-do-	-
5	WBSETCL	2016-17	30.11.2017	-do-	-
6	IPCL	2015-16	01.02.2017	-do-	-
7	DVC	2015-16	17.03.2017	-do-	-

**(f) Review Petition**

Present status of the Review Petitions filed before the Commission in 2012-13 to 2016-17 is shown in the Table VII below:-

Table-VII

Sl. No.	Name	Subject Matter	Date of receipt	Date of order
1.	WBPDC	Review of APR Order dt. 05.09.2013 for the year 2011-12	04.11.2013	Under active consideration
2.	WBPDC	Review of APR Order dated in Case No. APR- 39/13-14 for the FY 2012-13	19.09.2014	-do-
3.	DVC	Review of order dated 25.05.2015 in the matter of determination of retail tariff of DVC for the period 2014-15 to 2016-17	23.07.2015	-do-
4	DPL	Review of FPPCA & APR orders for the year 2013-14	17.04.2017	-do-
5	WBPDC	Review of the order dated 24.08.2017 in Case No. FPPCA 73/14-15 & APR 45/14-15 for FPPCA and APR for the FY 2013-14	10.10.2017	-do-

Orders for Sl. No 2, 3 4 were passed in the Financial Year 2018-19.

7. Determination of tariff for use of transmission facilities :-

The subject has already been discussed in paragraph 6 of the report.

8. Regulation on power purchase and procurement process of the transmission utilities and distribution utilities :-

The present status of Power Purchase Agreement (PPA) filed before the Commission in 2017-18 is shown in the Table VIII below :-

Table-VIII

Year	Number of new cases filed	Number of old cases pending	Total no. of cases	Number of Cases disposed of (5)			Number of cases pending at the end of the year
				New	Old	Total	
(1)	(2)	(3)	(4)	(a)	(b)	(c)	(6) =4-5(c)
2017-2018	02	07	09	-	-	Nil	09

**9. Grant of licence for transmission, distribution and trading in Electricity —**

No such application as per provisions of law has been received by the Commission during the period under report.

Amendment/Revocation of licence—No. such issue came up before the Commission during the period under report.

10. Adjudication of disputes between licensees and generating companies:—

Two such applications were received by the Commission during the period under report. Hearing for the both cases will be held during the month of January 2019.

11. Miscellaneous functions of the Commission during the period under report.**Accounting and Audit:—**

After enactment of the Electricity Act, 2003, the State Government notified the West Bengal Electricity Regulatory Commission (Annual Statement of Accounts) Rules, 2004 on April 29, 2004 in exercise of the power conferred by Clause (h) of sub-section (2) of section 180 read with sub-section (1) of section 104 of the Electricity Act, 2003 in supersession of the previous notification under the ERC Act, 1998.

In accordance with the provisions contained in section 104 of the Electricity Act, 2003 and Rules framed thereunder, the Commission forwarded the accounts, as certified by the Comptroller and Auditor General of India, together with the audit report thereon to the Department of Power & NES, Government of West Bengal for causing the same to be laid before the State Legislature. The calendar of events in this regard is shown below in the Table IX :—

Table- IX

	Calendar of Events	For the year 2016-17
i)	Submission of Accounts to the Principal Accountant General (Audit), West Bengal.	29.06.2017
ii)	Issuance of Audit Certificate by the Office of C & A.G.	22.09.2017
iii)	Forwarding the audited and certified accounts before the State Government for laying before the State Legislature.	04.07.2018
iv)	Laying of the audited and certified accounts before the State Legislature by the State Government.	Intimation not received from WBLA



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Accounts for the year 2017-18 were prepared and submitted for audit on 29.06.2018. Audit of Annual Statement of Accounts for 2017-18 has been conducted by the office of the Accountant General (Economic & Revenue Sector Audit) West Bengal during the period from 11.07.2018 to 23.07.2018.

(Tarun Kumar Mukherjee)

Date: 14th February, 2019

Secretary

Annexure—I

(See paragraph 2 of this report)

SCHEDULE

A) Name of post, number of post, scale of pay and category of officers and employees of WBERC:

Sl. No.	Name of the Post	No. of Posts	Scale of Pay*	Category
1.	Secretary	1	37400-60000	Group-A
2.	Advisor	3	37400-60000	Group-A
3.	Joint Advisor	3	37400-60000	Group-A
4.	Director	4	9000-40500	Group-A
5.	Deputy Director	4	9000-40500	Group-A
6.	Assistant Director including one Accounts Officer	5	9000-40500	Group-A
7.	Personal Secretary	3	9000-40500	Group-A
8.	Accountant	1	9000-40500	Group-B
9.	Head Assistant	1	7100-37600	Group-B
10.	Personal Assistant	10	7100-37600	Group-B
11.	Senior Assistant	7	7100-37600	Group-B
12.	Assistant	8	5400-25200	Group-C
13.	Group D officials	8	4900-16200	Group-D

* Pay scales prior to introduction of the Pay Scales w.e.f. 01.09.2009 on the recommendation of the Fifth State Pay Commission (FSPC).



Annexure -II

(See paragraph 5(b) of this report)

West Bengal Electricity Regulatory Commission

FD-415A, Poura Bhawan, 3rd Floor, Sector-III

Bidhannagar, Kolkata - 700 106

Tel: (033) 2359 3397, 2189

Fax: (033) 2359 3397

No. WBERC /D-1/Pt-V/

Dated:14/18.12.2017

From : Abhijit Bose, Adv (Engg.)
& Offg. Secretary, WBERC

To : The Addl Chief Secretary to the Government of West Bengal,
Department of Power & Non-Conventional Energy Sources,
Bidyut Unnayan Bhaban, 5th Floor,
3/C, Block-LA, Sector-III
Bidhannagar, Kolkata - 700 098

Sub : **Revised Estimate for 2017-2018 and Budget Estimate for 2018-2019 of the West Bengal Electricity Regulatory Commission required under rule 4 of the rules called the West Bengal Electricity Regulatory Commission (Appointment of Chairperson & Members, Budget & Annual Report) Rules, 2003 issued under notification No. 337-Power/III dated 29.12.2003**

Sir,

In inviting the reference to the above, I am directed to send herewith the Budget in Form I and Form II showing the revised estimate for the year 2017-2018 and budget estimate for the year 2018-2019 of West Bengal Electricity Regulatory Commission, alongwith actual for the year 2016-2017 and budget estimate for the year 2017-2018, as required.

Yours faithfully,

Sd /- xxxxx

Adv (Engg.) & Offg. Secretary, WBERC

Enclo : as stated above

**FORM I**

(See Rule 4.)

Revised Estimate for the year 2017-2018 and Budget Estimate for the year 2018-2019 of the West Bengal Electricity Regulatory Commission.

Receipts	Actuals for the year 2016-2017	Budget Estimate for the year 2017-2018	Revised Estimate for the year 2017-2018	Budget Estimate for the year 2018-2019
	Rs.	Rs.	Rs.	Rs.
1. Grants-in-aid from the State Government	-	-	-	-
(i) Grants-in-aid towards salaries	-	-	-	-
(ii) Other grants-in-aid	-	-	-	-
2. Licence fee	4,47,18,352	4,50,00,000	4,70,00,000	4,93,50,000
3. Other Fees/Receipts including OB	7,95,22,496	7,50,00,000	9,73,81,000	10,22,50,000
Total Receipts	12,42,40,848	12,00,00,000	14,43,81,000	15,16,00,000

Sd/- xxxxx

Secretary,

West Bengal Electricity Regulatory Commission

Dated: 18th December 2017



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FORM II (See Rule 4.)

Expenditure	Actuals for the year 2016-2017	Budget Estimate for the year 2017-2018	Revised Estimate for the year 2017-2018	Budget Estimate for the year 2018-2019
Salaries — Pay	1,68,61,237	2,35,00,000	2,32,39,000	2,78,86,000
Dearness Allowance	97,51,238	1,25,00,000	30,77,000	36,93,000
House Rent and other allowances	19,38,902	47,00,000	35,05,000	42,05,000
Ad hoc Bonus & Ex- gratia	1,18,000	80,000	1,30,000	1,56,000
Other allowances	46,99,449	43,00,000	54,18,000	65,02,000
Total Salaries:	3,33,68,826	4,50,80,000	3,53,69,000	4,24,42,000
Wages	46,238	90,000	48,000	50,000
Travel Expenses	6,73,177	8,30,000	8,33,000	8,74,000
Office Expenses	21,95,220	1,10,00,000	24,15,000	25,36,000
Rent, Rates and Taxes	42,22,604	80,00,000	66,70,000	70,04,000
Maintenance	4,19,082	20,00,000	7,88,000	8,28,000
Major/Minor Works	1,70,458	60,00,000	6,38,000	6,69,000
Payment for professional and Special services	83,82,022	1,75,00,000	1,42,60,000	1,46,81,000
Other Charges	61,27,250	90,00,000	71,44,000	75,02,000
Motor Vehicles	28,329	12,00,000	24,00,000	25,20,000
Tools and Plants/ Machinery and Equipments.	36,26,729	1,52,00,000	57,45,000	60,32,000
Total Expenditure	5,92,59,935	11,59,00,000	7,63,10,000	8,51,38,000

Date : 18.12.2017

Sd/- xxxxx
Secretary, WBERC